



06-29-01

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Attorney Docket No. P5886  
Client/Matter No. 80168.0243  
Express Mail No. EL700670778US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory W. Richards et al.

Serial No. 09/827,303

Filed: April 5, 2001

For: ELECTRONIC SURVEY TOOL  
AND DYNAMIC WORKFLOW TOOL

Group Art Unit: 2152

Examiner:

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts;
2. Copy of Notice to File Missing Parts of Application Filing Date Granted, form and surcharge payment of \$130;
3. Executed Declaration;
4. Fee Transmittal and check in the amount of \$840 (filing fee and surcharge);
4. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of \$40.00;
5. Certificate of Mailing By Express Mail;
6. Return postcard;

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL700670778US with the United States Postal Service, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C., 20231, 28 June, 2001.

28 June 2001  
Date

27 June 2001  
Date

William J. Kubida  
Mailing

William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax



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Gregory W. Richards et al.

Serial No. 09/827,303

Filed: April 5, 2001

For: ELECTRONIC SURVEY TOOL AND  
DYNAMIC WORKFLOW TOOL

Group Art Unit: 2152

Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed June 1, 2001, submitted herewith is a signed Declaration for Patent Application, a check in the amount of \$840 to cover \$710 for the filing fee and \$130 for the surcharge for a large entity; and a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

Also enclosed is a Recordation Form Cover Sheet PTO 1595 with executed Assignment and recording fee of \$40.00. Please forward the Assignment to the Recording Branch for recording.

Dated: 27 June, 2001

William J. Kubida, Registration No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,303	04/05/2001	Gregory W. Richards	P5886

CONFIRMATION NO. 4140

## FORMALITIES LETTER



\*OC000000006136055\*

Hogan & Hartson, LLP  
Suite 1500  
1200 17th Street  
Denver, CO 80202

Date Mailed: 06/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

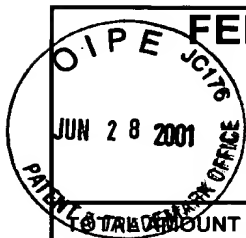
  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

07/03/2001 MABDI1 00000055 09827303

01 FC:101 710.00 OP  
02 FC:105 130.00 OP



# FEE TRANSMITTAL for FY 2001

Compleat if Known

Application Number	09/827,303
Filing Date	April 5, 2001
First Named Inventor	Gregory W. Richards et al.
Examiner Name	
Group / Art Unit	2152
Attorney Docket No.	P5886

AMOUNT OF PAYMENT (\$) **\$880.00**

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

**50-1123**

Deposit  
Account  
Name

**Hogan & Hartson L.L.P.**

- ☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	<b>710.00</b>
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

**SUBTOTAL (1) (\$ 710.00)**

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
20	-20**= 0	X	= 0	
Independent Claims	2	-3**= 0	X	= 0
Multiple Dependent			= 0	

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

**(\$).00**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40.00
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination	
900	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

**(\$170.00)**

## SUBMITTED BY

Name (Print/Type) **William J. Kubida**

Registration No.  
(Attorney/Agent)

**29,664**

Complete (if applicable)

Telephone **(719) 448-5900**

Signature

Date

**27 June 01**